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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	01393-P0047A
First Inventor	Roger Lacasse, et al.
Title	A FILTER DEVICE FOR FILTERING A LIQUID
Express Mail Label No.	EL 574 209 758 US

09/015857 PTO  
03/23/0109/015857 PTO  
03/23/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status  
See 37 CFR 1.27.

3.  Specification [Total Pages 21]  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims(s)
- Abstract of the Disclosure

4.  Drawings(s) (35 USC 113) [Total Sheets 8]

5. Oath or Declaration [ Total Pages 3 ]

a.  New executed (original or copy)

b.  Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a.  Computer Readable Form (CRF)

b.: Specification Sequence Listing on:

- i.  CD-ROM or CD-R (2 copies); or
- ii.  paper

c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement (when there is an assignee)  Power of Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part    of prior application No.:

Prior application information: Examiner Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number of Bar Code Label	24126	<input checked="" type="checkbox"/> Correspondence address below
(Insert Customer No. or Attach bar code label here)		

Name	Gene S. Winter and Todd M. Oberdick			
Address	St. Onge Steward Johnston & Reens LLC 986 Bedford Street			
City	Stamford	State	CT	Zip Code
Country	United States	Telephone	203 324-6155	Fax

Name (Print/Type)	Todd M. Oberdick	Registration No. (Attorney/Agent)	44,268
Signature	Todd M. Oberdick		Date 3/23/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Times will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 382.00) Attorney Docket Number 01393-P0047A GSW/TMO

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																															
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payment to: Deposit Account Number 19-4516 Deposit Account Name St.Onge Steward Johnston & Reens LLC <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				3. 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SUBMITTED BY		Complete (if applicable)			
Name (Print Type)	Todd M. Oberdick	Registration No. (Attorney/Agent)	44,268	Telephone	203 324-6155
Signature	<i>Todd M. Oberdick</i>			Date	3/23/01

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PATENT  
01393-P0047A GSW/TMO

11033 U.S. PTO  
09/815857  
03/23/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	Roger Lacasse, et al.
Serial No.	March 23, 2001
Title of Application:	A Filter Device For Filtering A Liquid

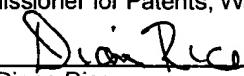
Assistant Commissioner for Patents  
Washington, DC 20231

**Cover Sheet For Eight Sheets Of Drawings**

Attorneys for Applicants  
Gene S. Winter, Registration No. 28,352  
Todd M. Oberdick, Registration No. 44,268  
**ST.ONGE STEWARD JOHNSTON & REENS LLC**  
986 Bedford Street  
Stamford, CT 06905-5619  
203 324-6155

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March 23, 2001

  
Diane Rice